IAP15 Rec'd PCT/PTO 16 JUN 2006

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

,	TRA	NSMITTAL LETTER TO	THE UNITED STATES	ATTORNEY'S DOCKET NUMBER				
		ESIGNATED/ELECTED (1	047182-0145				
	C	DICERNING A FILING U	NDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/540,112				
				PRIORITY DATE CLAIMED				
	PCT/US2 LE OF IN	003/040665 /ENTION	12/19/2003	12/20/2002				
			NG ELECTRICAL BREAKDOWN IN THE	E VICINITY OF CARBON NANOTUBE TIPS				
		S) FOR DO/EO/US						
App	licant her	RATKAR et al. ewith submits to the United States	Designated/Elected Office (DO/EO/US) (the following items and other information:				
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.	\boxtimes	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.	\boxtimes	The US has been elected (Article	31).					
5.	\boxtimes	A copy of the International Applica	ition as filed (35 U.S.C. 371(c)(2))	·				
		is attached hereto (required	d only if not communicated by the Interna	ational Bureau).				
has been communicated by the International Bureau.								
		is not required, as the appli	eiving Office (RO/US)					
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4).						
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made.						
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
lten	ns 11 to 2	0 below concern other documen	t(s) or information included:					
11.		An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.					
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is include						
13.		A preliminary amendment.						
14.		An Application Data Sheet under 37 CFR 1.76.						
15.		A substitute specification.						
16.	□ .	A power of attorney and/or change of address letter.						
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825						
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).						
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.			copy of Notification of Missing Requirem					

						Y'S DOCKET NUMBER 82-0145					
	The following fees have been submitted:										
21. Sasic national fee \$300)	\$	300.00			
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) All other situations \$200							\$	200.00			
23.	Search for	ee			_						
Search fe	e (37 CFR	1.445(a)(2))	has bee	n paid on the international	applicati	on t	o the				
USPT	O as an Inte	mational Sea	arching A	Authority	\$	100)				
International Search Report prepared and provided to the Office \$400								}			
All other	All other situations \$500								100.00		
	TO	TAL OF AB	OVE 21,	22 and 23 =				\$	600.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.											
Total She	eets Extra	sheets		er of each additional 50 or f f (round up to a whole num		R	ATE				
31 - 1	00 = 0	/50 =		0		x	\$250.00	\$	0.00		
Surcharg	e of \$130.00	o for furnishi	ng the o	ath or declaration later tha	n 30 mon	iths	from the	\$	130.00		
		ty date (37 C									
	AIMS	NUMBER		NUMBER EXTRA		RAT		\$			
Total	Claims	30	- 20 =	10	x\$		50.00	\$	500.00		
	dent Claims	9	- 3 =	6	×\$		200.00	\$	1200.00		
MULTIPL	E DEPEND	ENT CLAIM	(S) (if ap	· · · · · · · · · · · · · · · · · · ·	+\$		360.00	\$			
	-			TOTAL OF ABOV				\$	2430.00		
	Applicant cla re reduced		entity sta	atus. See 37 CFR 1.27. Fe	es abov	е	+	\$	1215.00		
			,		S	UB	TOTAL =	\$	1215.00		
	Applicant hereby petitions for an EXTENSION OF TIME for TWO MONTHS, the (small entity) fee for which is =								225.00		
TOTAL FEES DUE =							\$	1440.00			
				TOTAL FEES	PREVIOU	SL	Y PAID =	\$	2300.00		
TOTAL FEES OVERPAID =							\$	860.00			
KAYPAGH (00000098 19	30741 105	40112		•				Amount to be		
									refunded:	860.00	
130.00 DA									charged:	L	
a. ⊠	a. Fees paid with the initial filing on June 20, 2005, were inadvertently paid as large entity. As can be seen from the calculation above, taking into account the two month extension and surcharge fees currently due, this results in an overpayment of \$860.00, which should be credited back to Deposit Account 19-0741.										
b. 🗆	b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of A duplicate copy of this sheet is enclosed.										
c. 🛚											
d. □	d. Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: 1.137(a)	Where an	appropria	ate time and gr	e limit under 37 CFR anted to restore the In	1.495 ha ternatio	as i	not been Applica	me	t, a petition to to pending stat	revive (37 CFR us.	
SEND A	SEND ALL CORRESPONDENCE TO:								Dag		
SEND ALL CORRESPONDENCE TO: SIGNATI							IRE				
Foley & Lardner LLP Leon Rac											
	Customer Number: 22428 NAME						one and a second				
43,445											
							ΔΤΙ	N NUMBER			

06/21/2006 01 FC:1617



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Dox 1459 Alexandra, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/540,112

Nikhil Koratkar

047182-0145

INTERNATIONAL APPLICATION NO. PCT/US03/40665

22428 FOLEY AND LARDNER LLP SUITE 500

3000 K STREET NW

WASHINGTON, DC 20007

12/19/2003

I.A. FILING DATE

PRIORITY DATE 12/20/2002

CONFIRMATION NO. 1425

371 FORMALITIES LETTER

OC000000018054518

Date Mailed: 02/17/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/20/2005
- Copy of the International Search Report filed on 06/20/2005
- Preliminary Amendments filed on 06/20/2005
- Information Disclosure Statements filed on 06/20/2005
- U.S. Basic National Fees filed on 06/20/2005
- Priority Documents filed on 06/20/2005
- Specification filed on 06/20/2005
- Claims filed on 06/20/2005
- Abstracts filed on 06/20/2005
- Drawings filed on 06/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.					
10/540,112	PCT/US03/40665	047182-0145					

FORM PCT/DO/EO/905 (371 Formalities Notice)